



**PATENT**  
Docket No. 5634/8

**RECEIVED**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

96 FEB 15 PM 3:39

Inventor(s): John C. Harvey, James W. Cuddihy

GROUP 260

Serial No.: Unassigned

GROUP 260  
Group Art Unit: Unassigned

Filing Date: Herewith

Examiner: Unassigned

For: Signal Processing Apparatus and Methods

**Box PATENT APPLICATION**

Commissioner of Patents and Trademarks  
Washington D.C. 20231

**VERIFIED STATEMENT (DECLARATION)**  
**CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) & 1.27(c))**  
**SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern identified below who is empowered to act on behalf of the concern:

Name of Small Business Concern: **The Personalized Mass Media Corporation**  
Address: **333 East 57th Street**  
**New York, New York 10022**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **Signal Processing Apparatus and Methods** by inventor(s) **John C. Harvey and James W. Cuddihy**, described in the application being filed herewith.

The rights held by the above-identified small business concern are exclusive.

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

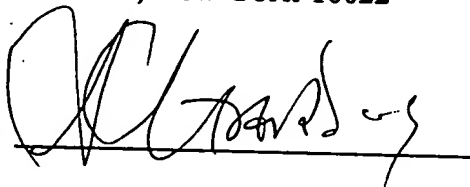
I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of Person Signing: John C. Harvey

Title: President

Address: 333 East 57th Street  
New York, New York 10022

SIGNATURE:

A handwritten signature in dark ink, appearing to read "J. C. Harvey", written over a horizontal line.

DATE:

August 28, 1993